

## **REMARKS**

### **Present Status of the Application**

The Office Action objected the amendment filed August 27, 2009 under 35 U.S.C. 132(a) because it introduces new matter “**without heat-treating the surface of the material with a reactive gas to form a film as a wave-guide path**” into the disclosure. The Office Action required Applicant to cancel the new matter.

The Office Action rejected Claims 1-7 and 9-17 under 35 U.S.C. 112 since the claim(s) contains subject matter which was not described in the specification.

The Office Action rejected Claims 1-17 and 9-17 under 35 U.S.C. 103(a) as being unpatentable over US20040124184A1 to An et al.

The Office Action rejected Claims 1-17 and 9-17 under 35 U.S.C. 103(a) as being unpatentable over US20040013805A1 to Nagata et al.

Applicants respectfully traverse the rejections addressed to claims 1-7 and claims 9-17 for at least the reasons set forth below.

### **Discussion of the objection under 35 U.S.C. 132(a) and 112:**

#### **1. Regarding Claim Amendments:**

Applicant has cancelled the new matter part in Claim 1.

Besides, in order to distinguish the present invention more clearly from the cited references, Applicant has amended Claim 1 as the following:

“1. A method of forming a periodic structure, comprising:

irradiating a surface of a material with a uniaxial-linearly polarized single laser beam, of which a fluence is above but nearly as low as an ablation threshold, such as to restrain an ablation as much as possible; and

executing an overlapped scanning on the irradiated region, so as to cause the ablation at a section where interference has taken place between a p-polarization component of an incident beam and a surface scattered wave of the p-polarization component generated along the material surface, and to thereby cause spontaneous formation of a periodic structure having a ripple spacing near a wavelength of the incident beam in a direction perpendicular to a polarization direction of the incident beam.”

The above amendments are based on Fig. 5 and the related paragraphs [0104] and [0050], listed as the followings, and cause no matters.

[0104]:“Fig. 5 shows a periodic structure formed with a laser fluence lowered closest possible to the ablation threshold, so as to restrain the ablation as much as possible.”

[0050]:” When a linear-polarized laser beam is irradiated on the substrate, an interference takes place between the p-polarization component of the incident beam 1 and the surface scattered wave along the substrate surface. When the fluence of the incident beam is near the ablation threshold, the ablation takes place only at a region of the interference between the incident beam and the surface scattered wave along the substrate surface.”

Based on the disclosure in the original specification (paragraph [0104]), Claim 1 now specifies that the fluence is above but nearly as low as the ablation threshold, such as to

restrain ablation as much as possible. It is clear from the disclosure, and is now explicit in Claim 1, that the fluence is above the ablation threshold. Claim 1 also has the functional definition concerning the proximity of the fluence to the ablation threshold: it is such as to restrain ablation as much as possible.

*Discussion of the rejection under 35 U.S.C. 103 (a):*

**2. Regarding Claim 1:**

Newly cited reference, US20040124184A1 (An et. AL), relates to a technology as describe in paragraph [0034] and [0035] that surface wave is formed in accordance with a wavelength of a laser beam in the state that a surface of the substrate is melted by laser beam irradiation. The surface temperature thereof is above the melting threshold, but far lower than an ablation threshold. It is thus a completely different technology from the present invention.

On the contrary, in the present invention, surface temperature of the substrate reaches above but nearly as low as the ablation threshold, such as to restrain ablation as much as possible.

Newly cited reference, US20040013805A1 (Nagata et. AL), relates to a technology as describe in the paragraph [0014] that diffraction gratings are formed on the heat-treated deposit by the laser light. It is thus a completely different technology from the present invention.

In accordance with the above analysis, An et al and Nagata et al both failed to disclose at least the following features of Claim 1 of the present invention:

“irradiating a surface of a material with a uniaxial-linearly polarized single laser beam, of which a fluence is above but nearly as low as an ablation threshold, such as to restrain an ablation as much as possible;” .

Hence, independent Claim 1 of the present invention is non-obvious over An et al and Nagata et al.

## **2. Regarding Claim 2-7 and 9-17:**

Based on the above analysis, independent Claim 1 is non-obvious over An et al and Nagata et al. Hence, Claims 2-7 and 9-17, which are directly or indirectly depending on Claim 1, are also non-obvious over An et al and Nagata et al.

**CONCLUSION**

For at least the foregoing reasons, it is believed that all the pending claims 1-7 and claims 9-17 of the present application are patentable. If the Examiner believes that a telephone conference would expedite the examination of the above-identified patent application, the Examiner is invited to call the undersigned.

Respectfully submitted,  
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